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PTO-1390 (Rev. 07-2005)

Approved for use through 3/31/2007. OMB 0651-0021

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
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TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371		ATTORNEY'S DOCKET NUMBER ISIS0090-100 (CORE0005USA)		
		U.S. APPLICATION NO. (If known, see 37 CFR 1.5) 10/561,618		
INTERNATIONAL APPLICATION NO. PCT/US04/19458	INTERNATIONAL FILING DATE June 18, 2004	PRIORITY DATE CLAIMED June 20, 2003		
TITLE OF INVENTION OLIGOMERIC COMPOUNDS FOR US				
APPLICANT(S) FOR DO/EO/US ( Brenda F. Baker	· · · · · · · · · · · · · · · · · · ·			
L	ates Designated/Elected Office (DO/EC	D/US) the following items and other information:		
This is a FIRST submission of items co	oncerning a submission under 35 U.S.C. 371	. ~		
2. This is a SECOND or SUBSEQUENT	This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.			
3. This is an express request to begin nat (5), (6), (9) and (21) indicated below.	This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.			
4. The US has been elected (Article 31).				
5. A copy of the International Application	n as filed (35 U.S.C. 371(c)(2))			
_	d only if not communicated by the Internation	nal Bureau).		
b. has been communicated by	y the International Bureau.			
c. Lis not required, as the appl	ication was filed in the United States Receiv	ing Office (RO/US).		
6. An English language translation of th	e International Application as filed (35 U.S.C	C. 371(c)(2)).		
a. Lis attached hereto.	a is attached hereto.			
b. L has been previously subm	b. has been previously submitted under 35 U.S.C. 154(d)(4).			
7. Amendments to the claims of the Inte	emational Application under PCT Article 19	(35 U.S.C. 371(c)(3))		
a. are attached hereto (requ	ired only if not communicated by the Interna	tional Bureau).		
b. have been communicated	b. have been communicated by the International Bureau.			
c. have not been made; how	ever, the time limit for making such amend	nents has NOT expired.		
d. have not been made and	will not be made.			
8. An English language translation of the	he amendments to the claims under PCT Ar	ticle 19 (35 U.S.C. 371(c)(3)).		
9. An oath or declaration of the inventor	An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).			
10. An English language translation of the Article 36 (35 U.S.C. 371(c)(5)).	An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).			
Items 11 to 20 below concern document(s	s) or information included:			
11. An Information Disclosure Statement	under 37 CFR 1.97 and 1.98.			
12. An assignment document for recording	An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.			
13. A preliminary amendment.	A preliminary amendment.			
14. An Application Data Sheet under 37	An Application Data Sheet under 37 CFR 1.76.			
15. A substitute specification.	A substitute specification.			
16. A power of attorney and/or change o	A power of attorney and/or change of address letter.			
17. A computer-readable form of the seq	A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.			
18. A second copy of the published Inter	A second copy of the published International Application under 35 U.S.C. 154(d)(4).			
19. A second copy of the English langua	ge translation of the international application	n under 35 U.S.C. 154(d)(4).		

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14: This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. Page 1 of 3

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U.S. APPLICATION NO. (if known, see 37 CFR 1.5) 10/561,618	PCT/US04/19458	PLICATION NO.	ATTORNEY'S DO ISIS0090-100 (CO	
20. Other items or information:  Copy of Notice of Missing Requriements Und	der 35 USC 371			
The following fees have been submitted			CALCULATIONS	PTO USE ONLY
21. Basic national fee (37 CFR 1.492(a))		\$300	\$	1
22.  Examination fee (37 CFR 1.492(c))				
If the written opinion prepared by ISA/US or the internal by IPEA/US indicates all claims satisfy provision All other situations	\$ 200.00			
23. Search fee (37 CFR 1.492(b)) If the written opinion of the ISA/US or the International p IPEA/US indicates all claims satisfy provisions Search fee (37 CFR 1.445(a)(2)) has been paid on the International Searching Authority	of PCT Article 33(1)-(4) international application to han the US and provided to	\$0 the USPTO as an\$100 the Office or\$400	\$	
TOTAL OF 21, 22 and 23 =			\$ 200.00	
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)).  The fee is \$250 for each additional 50 sheets of paper or fraction thereof.  Total Sheets Extra Sheets Number of each additional 50 or fraction RATE thereof (round up to a whole number)				
- 100 = /50 =		x \$250	\$	ı
Surcharge of \$130.00 for furnishing any of the search feafter the date of commencement of the national stage (	ee, examination fee, or the (37 CFR 1.492(h)).		\$ 130.00	
CLAIMS NUMBER FILED	NUMBER EXTRA	RATE	\$	
Total claims - 20 =		× \$50	\$	
Independent claims - 3 =		x \$200	\$	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)		+ \$360	\$	/
	TOTAL OF ABOVE		\$	
Applicant claims small entity status. See 37 CFR 1.	27. Fees above are reduce	ed by 1/2.		
		SUBTOTAL =	\$ 165.00	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).			\$	
	TOTAL	NATIONAL FEE =	\$	
		t he accompanied	¢	
Fee for recording the enclosed assignment (37 CFR 1.2 by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40		+	\$	
by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40	.00 per property		\$ 165.00	
	.00 per property	+	·	\$

PTC-1390 (Rev. 07-2005)
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а. 🗌	A check in the amount of \$	to cover the above fees is enclosed.
b. 🔽	Please charge my Deposit Account No. 50-0252 A duplicate copy of this sheet is enclosed.	in the amount of \$ 165.00 to cover the above fees.
c. 🔽	The Commissioner is hereby authorized to charge any a Account No. 50-0252 . A duplicate copy of this she	additional fees which may be required, or credit any overpayment to Deposit eet is enclosed.
d. 🔲	Fees are to be charged to a credit card. WARNING: Infibe included on this form. Provide credit card information	formation on this form may become public. Credit card information should not tion and authorization on PTO-2038.
	Where an appropriate time limit under 37 CFR 1.495 h nted to restore the International Application to pendi	nas not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed ing status.
SEND A	LL CORRESPONDENCE TO:	Robert S. andrews
Cozer	n O'Connor, P.C.	SIGNATURE
	Market Street	Robert S. Andrews
Philad	delphia, PA 19103-3508	NAME
		44,508
		REGISTRATION NUMBER
		·



## United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS P.O. But 1450 Alexandria, Viginia 22313-1450

	U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	TTA	. DOCKET NO.
	10/561,618	Brenda F. Baker		S0090-100 RE0005USA
			INTERNATIONAL AP	PLICATION NO.
			PCT/US04	19458
341	38		I.A. FILING DATE	PRIORITY DATE
0	ZEN O'CONNOR, P.C.		06/18/2004	06/20/2003

34138 COZEN O'CONNOR, P.C. 1900 MARKET STREET PHILADELPHIA, PA 19103-3508

CONFIRMATION NO. 9771
371 FORMALITIES LETTER

\*OC000000018402228\*

Date Mailed: 03/30/2006

## NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Indication of Small Entity Status
- Copy of the International Application filed on 12/20/2005
- Copy of the International Search Report filed on 12/20/2005
- Preliminary Amendments filed on 12/20/2005
- Oath or Declaration filed on 12/20/2005
- Small Entity Statement filed on 12/20/2005
- Request for Immediate Examination filed on 12/20/2005
- U.S. Basic National Fees filed on 12/20/2005
- Priority Documents filed on 12/20/2005
- Specification filed on 12/20/2005
- Claims filed on 12/20/2005
- Abstracts filed on 12/20/2005

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application
  by the International application number and international filing date. The current oath or declaration does
  not comply with 37 CFR 1.497(a) and (b) in that it:
  - is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath

or declaration) as set forth in 37 CFR 1.492(h) of \$65 for a small entity in compliance with 37 CFR 1.27, mu\$t be submitted with the missing items identified in this letter.

## SUMMARY OF FEES DUE:

Total additional fees required for this application is \$165 for a Small Entity:

- \$65 Surcharge.
  - The application examination fee has not been paid. Applicant must submit \$100 to complete the
    examination fee for a small entity in compliance with 37 CFR 1.27. Note a surcharge will be required if
    submitted later than commencement of the national stage (37 CFR 1.492(h)) and the basic national fee
    was not paid before July 1, 2005.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice MUST be returned with the response.

VONDA M WALLACE

Telephone: (703) 308-9140 EXT 225

## **PART 2 - OFFICE COPY**

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/561,618	PCT/US04/19458	ISIS0090-100 (CORE0005USA

FORM PCT/DO/EO/905 (371 Formalities Notice)